



U.S. Bankruptcy Court, District of Minnesota

Rule 9013-4. Corporate Ownership Statements

- (a) APPLICABILITY. The requirements of Federal Rule of Bankruptcy Procedure 7007.1 apply to any request for relief made by a nongovernmental corporation as defined by 11 U.S.C. § 101(9).
- (b) TIMING. The corporate ownership statement must be filed when the nongovernmental corporation files the request for relief.

[Effective July 17, 2023.]

2023 Advisory Committee Notes

Local Rule 9013-4 was implemented to impose a requirement for nongovernmental corporations to file a corporate ownership statement when filing a request for relief. A request for relief includes any motion, response to a motion, objection, or application that is filed in a bankruptcy case that is not an adversary proceeding. Rule 7007.1 imposes this requirement in adversary proceedings but there is currently no requirement in the Federal Rules of Bankruptcy Procedure to have nongovernmental corporations do the same in a bankruptcy case. Judges use the information provided in a corporate ownership statement to help them make properly informed disqualification decisions under the Code of Conduct for United States Judges.